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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Roland Valdes, Jr. et al. Examiner: Unknown  
Serial No.: 09/503,559 Group Art Unit: 1614  
Filed: February 11, 2000 Docket: 1160.003US1  
Title: DIHYDROOUABAIN-LIKE FACTOR AND DIAGNOSTIC & THERAPEUTIC  
COMPOSITIONS AND METHODS

SEP 07 2000

TECH CENTER 1600/2900

**INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER 1.97(e)(1)**

Commissioner for Patents  
Washington, D.C. 20231

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for review in connection with the above-identified patent application. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next official communication. The attached documents were discovered as a result of a Search Report in a related copending foreign patent application. Enclosed for the Examiner's information is a copy of the documents and the International Search Report.

**CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)**

The undersigned attorney hereby certifies that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Applicant respectfully requests consideration of these documents during prosecution of the above-identified matter. The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,  
ROLAND VALDES, JR. ET AL.  
By their Representatives,

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Date

25 August 2000

By

Kathleen R. Terry  
Reg. No. 31,884

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Washington, D.C. 20231 on August 29, 2000.

Name

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Signature

Peter Malen